

CAMPAIGN FINANCE DIVISION

☒ **WAIVER REQUEST**
☐ **RECONSIDERATION REQUEST**

DATE: 7/26/2021
DOCKET #:

FILER INFORMATION

Name: Vickie Tolliver Auguste
Office: BESE, District 6
Parish: ASCENSION, EAST BATON ROUGE, LIVINGSTON, TANGIPAHOA, WASHINGTON
Election Date: 10/12/2019
Level of Office: Major

REPORT INFORMATION

Name of Report: 10-G
Original Due Date: 11/6/2019
Date Filed: 11/7/2019
Activity Receipts: \$0
Expenditures: \$0
Funds at Close of Reporting Period: \$0

LATE FEE INFORMATION

Amount of Late Fee: \$100
Days Late: 1
Late Fee Order Received: 5/21/2021
Payment/Waiver Request Due Date: 6/10/2021
Waiver Request Received: 6/9/2021
Additional Information Requested:

- Medical
- Financial
- Other

COMMENTS: Candidate submitted the waiver via fax and stated that she had problems with the electronic filing program and she filed the 10-G report one day late. She said in regards to the 10-P she was not aware she had to file the report because she did not collect any funds and was not a candidate in the general election. She said she does not plan on running for office again.

OTHER LATE FEE INFORMATION

Campaign Finance:

Other Outstanding Reports: No
Other Outstanding Late Fees: No
Prior Late Fees: No
Reassessed Late Fees: No

Disclosure Statements:

Other Outstanding Late Fees: No
Prior Late Fees: No

Post Office Box 33
Prairieville, Louisiana 70769

June 9, 2021

State of Louisiana
Department of State Civil Service
Louisiana Board of Ethics
Post Office Box 4368
Baton Rouge, Louisiana 70821

RE: Late fee assessment for the October 12, 2019 Election
10-P Campaign Finance Disclosure Report filed 5 days late

Late fee assessment for the October 12, 2019 Election
10-G Campaign Finance Disclosure Report filed 1 day late

Please submit this written letter as a formal request for a waiver of the above-referenced late fee assessments in accordance with La. R.S. 18:1505.4 and La. R.S. 18:1511.4.1. As a former candidate for a local school board office, I filed all appropriate campaign finance forms as required, in a timely manner. However, as a candidate for a state-wide office (multi-parish), I attempted to do the same but ran into problems with the Electronic Filing program (see email). After incurring problems with the Electronic Filing program, I was able to file the 10-G Campaign Finance Disclosure Report by midnight; however, the system apparently logged the submission as the following date. In regards to the 10-P Campaign Finance Disclosure, I was not aware that I had to file the report because I did not collect any funds and was not a candidate in the general election. After Ms. Angela Newsom contacted me regarding the report, I promptly prepared it and submitted it via the electronic platform.

Although these late fees are from my 2019 campaign, I was not aware that there were any fines due. In an effort to be proactive, I constantly monitored the Ethic's website to secure information on any fines that were due; however, I never noticed my name listed as owing any fines. On Friday, May 21st, my estranged husband informed me that he had secured two certified letters from your office. I telephone your office to inquire about the late fee assessments. I was informed that my name would not have been listed on your website because your office had not made contact to inform me of the late fees. I was further informed that an attempt was made to contact me via the USPS using my mailing address, which is a post office box that is still open, but the letters were never claimed. As a result, the certified letters were eventually mailed to my former physical address. I truly apologize but had I been informed of any certified letters needing to be claimed, I would have done so.

As stated above, I was not made aware of any late fee assessments. My pursuit of political office was a one-person organized grassroots effort. I did not solicit funds from any person and/or

Letter to Louisiana Board of Ethics
Dr. Vickie Tolliver Auguste, Ed.D.

2

political action committee and all monetary contributions were from my own personal funds. I have never requested a waiver and I do not intend on running for a political office again; therefore, I will not be filing any future campaign finance reports. I pray that you please take into consideration these extenuating circumstances and grant my request for a waiver of the late fee assessments.

Sincerely,



Dr. Vickie Tolliver Auguste, Ed.D.
Louisiana Public School Educator

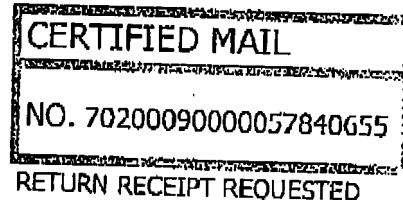


STATE OF LOUISIANA
DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS

P. O. BOX 4368
BATON ROUGE, LA 70821
(225) 219-5600
FAX: (225) 381-7271
1-800-842-6630
www.ethics.la.gov

May 20, 2021

Vickie Tolliver Auguste
18047 Ira Babin Rd.
Prairieville, LA 70769-3399



RE: Late fee assessment for the October 12, 2019 Election
10-G Campaign Finance Disclosure Report filed 1 day late

Dear Vickie Tolliver Auguste:

Enclosed is an assessment of a late fee pursuant to La R.S. 18:1505.4 and La. R.S. 18:1511.4.1. Please submit a check or money order in the amount of \$100 payable to the Treasurer of the State of Louisiana to P. O. Box 4368, Baton Rouge, LA 70821. Please note that late fees can only be paid by the candidate or by his campaign.

You have 20 days from receipt of this letter to dispute this assessment. You may elect to do either or both of the following:

1. You may submit your request for a waiver to the attention of the Louisiana Board of Ethics.

Waivers are handled by the Ethics Board. The Board of Ethics may reduce, suspend, or decline to waive a late fee assessment based on the cause shown by the person, candidate, or political committee. If you submit a request for a waiver of the late fee to the Board of Ethics, your request should contain details as to why, in your opinion, you have good cause why your report was not timely filed. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your letter. The request should be submitted to the attention of the Board of Ethics at the following address: P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225) 381-7271.

2. You may appeal the assessment directly to the Ethics Adjudicatory Board (EAB).

Appeals to the EAB are proceedings before a three judge panel. The determination is made regarding whether the person, candidate, or political committee was required to file the report and whether the report was filed late. **Mitigating factors are not considered during an EAB proceeding.** The EAB does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to appeal the assessment to the EAB, you should submit your request for an appeal to the attention of the Executive Secretary of the Louisiana Board of Ethics at P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225) 381-7271.

If you timely submit a waiver request or appeal, your name will not be posted on the website pending the result of your appeal. Furthermore, if you request an appearance, you will be notified of the place and time prior to the meeting.

If you do not pay or dispute the assessment of the late fee within 20 days from the receipt of this letter, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid campaign finance fines, fees or penalties may have an adverse effect on your ability to run for public office in the future, as the Board of Ethics will object to your future qualifications for candidacy for public office pursuant to La. R.S. 18:491 and R.S. 18:492.

Sincerely,

LOUISIANA BOARD OF ETHICS

Melissa Horn

STATE OF LOUISIANA LOUISIANA BOARD OF ETHICS
acting in its capacity as the
SUPERVISORY COMMITTEE ON CAMPAIGN FINANCE DISCLOSURE

In Re: Vickie Tolliver Auguste
October 12, 2019 Election

LATE FEE ASSESSMENT ORDER

WHEREAS, Vickie Tolliver Auguste, in her capacity as a candidate for BESE, District 6 in the October 12, 2019 election was required to file campaign finance reports pursuant to La. R. S. 18:1484.

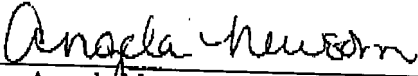
WHEREAS, La. R.S. 18:1505.1 provides that it is a violation of the Campaign Finance Disclosure Act to fail to timely file campaign finance reports.

WHEREAS, in accordance with La. R.S. 18:1495.4B(5), Vickie Tolliver Auguste was required to file a 10th Day Prior to General Report (10-G) by November 6, 2019. Vickie Tolliver Auguste filed the report on November 7, 2019 and was 1 day late.

WHEREAS, La. R.S. 18:1505.4A(2)(a)(i) provides that an automatic late fee of \$100 per day (not to exceed \$2,500) be assessed against Vickie Tolliver Auguste for this late filing.

ACCORDINGLY, IT IS ORDERED that a late fee of \$100 is assessed against Vickie Tolliver Auguste for failure to timely file her campaign finance disclosure report.

ORDER signed on the 20th day of May 2021 at Baton Rouge, Louisiana.



Angela Newsom, Director
Campaign Finance & Lobbying Division

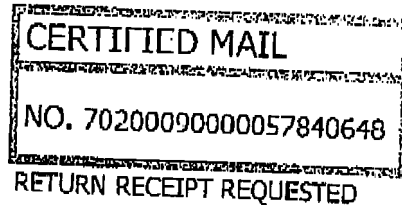


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DEPARTMENT OF STATE CIVIL SERVICE
LOUISIANA BOARD OF ETHICS

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May 20, 2021

Vickie Tolliver Auguste
18047 Ira Babin Rd.
Prairieville, LA 70769-3399



RE: Late fee assessment for the October 12, 2019 Election
10-P Campaign Finance Disclosure Report filed 5 days late

Dear Vickie Tolliver Auguste:

Enclosed is an assessment of a late fee pursuant to La R.S. 18:1505.4 and La. R.S. 18:1511.4.1. Please submit a check or money order in the amount of \$500 payable to the Treasurer of the State of Louisiana to P. O. Box 4368, Baton Rouge, LA 70821. Please note that late fees can only be paid by the candidate or by his campaign.

You have 20 days from receipt of this letter to dispute this assessment. You may elect to do either or both of the following:

1. You may submit your request for a waiver to the attention of the Louisiana Board of Ethics.

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LOUISIANA BOARD OF ETHICS

Melissa Horn

STATE OF LOUISIANA LOUISIANA BOARD OF ETHICS
acting in its capacity as the
SUPERVISORY COMMITTEE ON CAMPAIGN FINANCE DISCLOSURE

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October 12, 2019 Election

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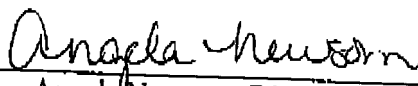
WHEREAS, La. R.S. 18:1505.1 provides that it is a violation of the Campaign Finance Disclosure Act to fail to timely file campaign finance reports.

WHEREAS, in accordance with La. R.S. 18:1495.4B(4), Vickie Tolliver Auguste was required to file a 10th Day Prior to Primary Report (10-P) by October 2, 2019. Vickie Tolliver Auguste filed the report on October 7, 2019 and was 5 days late.

WHEREAS, La. R.S. 18:1505.4A(2)(a)(i) provides that an automatic late fee of \$100 per day (not to exceed \$2,500) be assessed against Vickie Tolliver Auguste for this late filing.

ACCORDINGLY, IT IS ORDERED that a late fee of \$500 is assessed against Vickie Tolliver Auguste for failure to timely file her campaign finance disclosure report.

ORDER signed on the 20th day of May 2021 at Baton Rouge, Louisiana.



Angela Newsom, Director
Campaign Finance & Lobbying Division

Jun. 9.2021 3:32PM
6/9/2021

Gmail RE: Electronic Filing: Campaign Finance Inquiries

NO. 6227 P. 8/13



Vickie Auguste, Ed.D. <drvickieauguste@gmail.com>

RE: Electronic Filing: Campaign Finance Inquiries
2 messages

Angela Newsom (ETHICS) <Angela.Newsom@la.gov>
To: "drvickieauguste@gmail.com" <drvickieauguste@gmail.com>

Fri, Sep 13, 2019 at 7:45 AM

Were you able to log on and file your report?

Angela Newsom, Division Director
Campaign Finance & Lobbying
Ethics Administration
P. O. Box 4368
Baton Rouge, LA 70821
225-219-5600
800-842-6630
225-381-7271 (fax)

-----Original Message-----

From: drvickieauguste@gmail.com [mailto:drvickieauguste@gmail.com]
Sent: Thursday, September 12, 2019 9:53 PM
To: Angela Newsom (ETHICS)
Subject: Electronic Filing: Campaign Finance Inquiries

Campaign Finance Inquiries

I am trying to file my Candidate Report (30-P) online and I can't access the site. Please have someone to call me at (225) 278-2554 to assist me with this matter. Thanks!

Vickie Auguste <drvickieauguste@gmail.com>
To: "Angela Newsom (ETHICS)" <Angela.Newsom@la.gov>

Fri, Sep 13, 2019 at 9:50 AM

Yes ma'am! An IT tech called me last night and walked me through the process. Very grateful!

Thanks!

Vickie Tolliver Auguste, MA, MEd, Ed.D.
"Education is the most powerful weapon
which you can use to change the world."
~Nelson Mandela
[Quoted text hidden]

CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

OFFICE USE ONLY**1. Qualifying Name and Address of Candidate**Vickie Tolliver Augusto
Post Office Box 33
Prairieville, LA 70769**2. Office Sought (include title of office as well as parish, city, town and/or election district.)**BESE
Ascension/Prairieville
District 6**3. Date of Primary** 10/12/2019

This report covers from 8/12/2019 through 9/2/2019

4. Type of Report:

- ☐ 180th day prior to primary
 ☐ 40th day after general
☐ 90th day prior to primary
 ☐ Annual (future election)
☒ 30th day prior to primary
 ☐ Supplemental (past election)
☐ 10th day prior to primary
☐ 10th day prior to general
 ☐ Amendment to prior report

5. FINAL REPORT is:

- ☐ Withdrawn
 ☐ Filed after the election AND all loans and debts paid
☐ Unopposed

6. Name and Address of Financial Institution
(You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

7. Full Name and Address of Treasurer

9. Name of Person Preparing Report
Vickie Tolliver Augusto
Daytime Telephone (363) 6724

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This _____ day of _____

8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

Vickie Tolliver Augusto
Signature of Candidate/Chairperson
(To be signed by Chairperson only if report by principal campaign committee)

(225) 201168
Daytime Telephone

Signature of Treasurer

Daytime Telephone

SUMMARY PAGE

RECEIPTS		This Period
1. Contributions (Schedule A-1)		\$893.00
2. In-kind Contributions (Schedule A-2)		\$0.00
3. Campaign paraphernalia sales of \$25 or less		\$0.00
4. TOTAL CONTRIBUTIONS (Lines 1 + 2 + 3)		\$893.00
5. Other Receipts (Schedule A-3)		\$0.00
6. Loans Received (Schedule B)		\$0.00
7. Loan Repayments Received (Schedule D)		\$0.00
8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7)		\$893.00

DISBURSEMENTS		This Period
9. Expenditures (Schedule E-1)		\$893.00
10. Other Disbursements (Schedule E-2)		\$0.00
11. Loan Repayments Made (Schedule B)		\$0.00
12. Funds Loaned (Schedule D)		\$0.00
13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12)		\$893.00

FINANCIAL SUMMARY		Amount
14. Funds on hand at beginning of reporting period (Must equal funds on hand at close from last report or -0- If first report for this election)		\$0.00
15. Plus total receipts this period (Line 8 above)		\$893.00
16. Less total disbursements this period (Line 13 above)		\$893.00
17. Less in-kind contributions (Line 2 above)		\$0.00
18. Funds on hand at close of reporting period		\$0.00

SUMMARY PAGE (continued)

INVESTMENTS		Amount
19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (i.e., savings accounts, CD's, money market funds, etc.)		\$0.00
20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments		\$0.00

SPECIAL TRANSACTIONS -for the reporting period		This Period
21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B.)		\$893.00
22. Contributions received from political committees (From Schedules A-1 and A-2)		\$0.00
23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.)		\$0.00
24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.)		\$0.00
25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.)		\$893.00

SPECIAL TRANSACTIONS -total for election		This Election
26. Total amount of contributions received from political committees for both the primary and general elections combined since the first report filed for this election.		\$0.00

NOTICE

The personal use of campaign funds is prohibited.* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. However, campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15, 1988.

SCHEDULE A-1: CONTRIBUTIONS (Other than In-Kind Contributions)

The following information must be provided for all contributors to your campaign during this reporting period, except for in-kind contributions. Information on in-kind contributions is reported on SCHEDULE A-2: IN-KIND CONTRIBUTIONS. In Column 1, check if the contributor is a political committee or a party committee. Any personal funds a candidate contributes to his campaign must be reported on this schedule. Personal funds a candidate loans to his campaign should be reported on Schedule B. For anonymous contributions, see SCHEDULE F. Totals and subtotals are optional. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Contributor		2. Contributions this Reporting Period		3. Total this Election
		a. Date(s)	b. Amount(s)	
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769		8/16/2019	\$50.00	\$50.00
POLITICAL COMMITTEE? _____	PARTY COMMITTEE? _____			
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769		8/17/2019	\$150.00	\$200.00
POLITICAL COMMITTEE? _____	PARTY COMMITTEE? _____			
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769		8/28/2019	\$410.00	\$610.00
POLITICAL COMMITTEE? _____	PARTY COMMITTEE? _____			
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769		8/30/2019	\$283.00	\$893.00
POLITICAL COMMITTEE? _____	PARTY COMMITTEE? _____			
POLITICAL COMMITTEE? _____				
POLITICAL COMMITTEE? _____				
POLITICAL COMMITTEE? _____				
POLITICAL COMMITTEE? _____				
4. SUBTOTAL (this page)			\$893.00	N/A
5. TOTAL (complete only on last page of this schedule)			\$893.00	N/A
6. CONTRIBUTIONS FROM POLITICAL COMMITTEES:				
SUBTOTAL (this page) \$0.00		TOTAL (complete only on last page of this schedule) \$0.00		

SCHEDULE E-1: EXPENDITURES

Use this schedule to report information on all campaign expenditures for this reporting period. An "expenditure" is any payment made for the purpose of supporting your election to public office and includes monies spent for the campaign's general operating expenses. Any payments made that are not "expenditures" should be reported on SCHEDULE E-2: OTHER DISBURSEMENTS. Totals and subtotals at bottom of page are optional. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.


1. Name and Address of Recipient	2. Expenditures this Reporting Period		
	a. Date(s)	b. Purpose(s)	c. Amount(s)
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769	8/16/2019	Online Campaign Ad	\$50.00
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769	8/28/2019	Campaign Signs	\$410.00
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769	8/30/2019	Campaign Signs	\$283.00
Vickie Tolliver Auguste 18047 Ira Babin Rd. Prairieville, LA 70769	8/17/2019	Campaign Ad	\$150.00
3. SUBTOTAL (optional)			\$893.00
4. TOTAL (optional - complete only on last page of this schedule)			\$893.00

Jun. 9. 2021 3:30PM

NO. 6227 P. 1/13

Post Office Box 33
Prairieville, LA 70769
(225) 320-1168

fax

TO: Louisiana Board of Ethics FROM: Vickie Tolliver Auguste, Ed.D. 

FAX: Recipient fax number PAGES: 12

PHONE: (225) 219-5600 DATE: 06/09/2021

RE: Late Fee Assessments CC: N/A

☒ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

Please find forthcoming a letter of request for a waiver of late fee assessments from my 2019 BESE campaign.

Fax Received 16:00:15 2021-06-09



Unknown @Port 9100

06/09/2021 3:52 PM

Start Page

Xerox® VersaLink™ B405DN Multifunction Printer